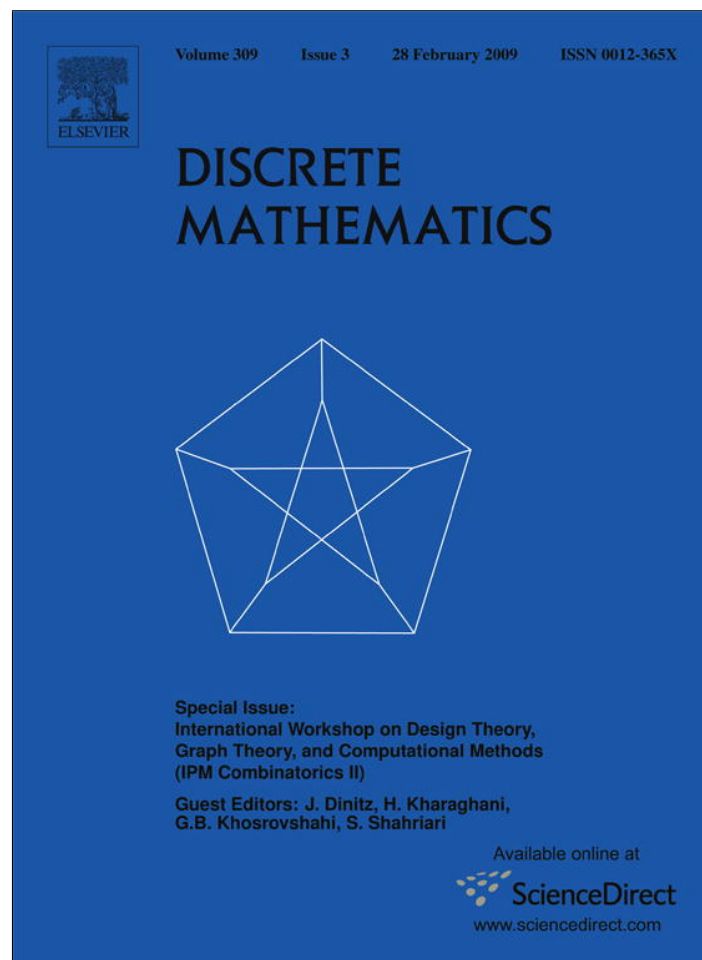


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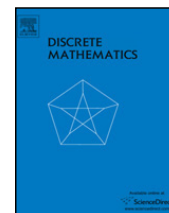
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## Discrete Mathematics

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## On the dimensions of the binary codes of a class of unital

Ka Hin Leung<sup>a</sup>, Qing Xiang<sup>b,\*</sup><sup>a</sup> Department of Mathematics, National University of Singapore, Kent Ridge, Singapore 119260, Singapore<sup>b</sup> Department of Mathematical Sciences, University of Delaware, Newark, DE 19716, United States

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## ABSTRACT

Let  $U_\beta$  be the special Buekenhout-Metz unital in  $\text{PG}(2, q^2)$ , formed by a union of  $q$  conics, where  $q = p^e$  is an odd prime power. It can be shown that the dimension of the binary code of the corresponding unital design  $\mathcal{U}_\beta$  is less than or equal to  $q^3 + 1 - q$ . Baker and Wantz conjectured that equality holds. We prove that the aforementioned dimension is greater than or equal to  $q^3(1 - \frac{1}{p}) + \frac{q^2}{p}$ .

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## 1. Introduction

A *unital* is a  $2-(m^3 + 1, m + 1, 1)$  design, where  $m \geq 2$ . All known unital with parameters  $(m^3 + 1, m + 1, 1)$  have  $m$  equal to a prime power, except for one example with  $m = 6$  constructed by Mathon [9], and independently by Bagchi and Bagchi [3]. In this note, we will only consider unital embedded in  $\text{PG}(2, q^2)$ , i.e., unital coming from a set of  $q^3 + 1$  points of  $\text{PG}(2, q^2)$  which meets every line of  $\text{PG}(2, q^2)$  in either 1 or  $q + 1$  points. (Sometimes, a point set of size  $q^3 + 1$  of  $\text{PG}(2, q^2)$  with the above line intersection properties is called a unital, too.) A classical example of such unital is the *Hermitian unital*  $\mathcal{U} = (\mathcal{P}, \mathcal{B})$ , where  $\mathcal{P}$  and  $\mathcal{B}$  are the set of absolute points and the set of non-absolute lines of a unitary polarity of  $\text{PG}(2, q^2)$ , respectively.

The Hermitian unital is a special example of a large class of unital embedded in  $\text{PG}(2, q^2)$ , called the *Buekenhout-Metz unital*. We refer the reader to [5] for a survey of results on these unital. A subclass of the Buekenhout-Metz unital which received some attention can be defined as follows.

Let  $q = p^e$  be an **odd** prime power, where  $e \geq 1$ , let  $\beta$  be a primitive element of  $\mathbb{F}_{q^2}$ , and for  $r \in \mathbb{F}_q$  let  $C_r = \{(1, y, \beta y^2 + r) \mid y \in \mathbb{F}_{q^2}\} \cup \{(0, 0, 1)\}$ . We define

$$U_\beta = \bigcup_{r \in \mathbb{F}_q} C_r.$$

Note that each  $C_r$  is a conic in  $\text{PG}(2, q^2)$ , and any two distinct  $C_r$  have only the point  $P_\infty = (0, 0, 1)$  in common. Hence  $|U_\beta| = q^3 + 1$ . It can be shown that every line of  $\text{PG}(2, q^2)$  meets  $U_\beta$  in either 1 or  $q + 1$  points (see [1,7]). One immediately obtains a unital (design)  $\mathcal{U}_\beta$  from  $U_\beta$ : The *points* of  $\mathcal{U}_\beta$  are the points of  $U_\beta$ , and the *blocks* of  $\mathcal{U}_\beta$  are the intersections of the secant lines with  $U_\beta$ . In this note, we are interested in the binary code  $\mathcal{C}_2(\mathcal{U}_\beta)$  of this design, i.e., the  $\mathbb{F}_2$ -subspace spanned by the characteristic vectors of the blocks of  $\mathcal{U}_\beta$  in  $\mathbb{F}_2^{U_\beta}$ .

\* Corresponding author.

E-mail addresses: [matlkh@nus.edu.sg](mailto:matlkh@nus.edu.sg) (K.H. Leung), [xiang@math.udel.edu](mailto:xiang@math.udel.edu) (Q. Xiang).

The following proposition and its proof are due to Baker and Wantz [6,10]. To state the proposition, we use  $v^S$  to denote the characteristic vector of a subset  $S$  in  $U_\beta$ .

**Proposition 1.1** (Baker and Wantz). *The vectors  $v^{C_r}$ ,  $r \in \mathbb{F}_q$ , form a linearly independent set of vectors in  $\mathcal{C}_2(\mathcal{U}_\beta)^\perp$ .*

**Proof.** A binary vector  $v$  lies in  $\mathcal{C}_2(\mathcal{U}_\beta)^\perp$ , if and only if, each block of the design  $\mathcal{U}_\beta$  meets the support of  $v$  in an even number of points. If a block of  $\mathcal{U}_\beta$  goes through  $P_\infty$ , then it meets every  $C_r$  in two points; if a block of  $\mathcal{U}_\beta$  does not go through  $P_\infty$ , then it meets every  $C_r$  in either 0 or 2 points. Hence  $v^{C_r} \in \mathcal{C}_2(\mathcal{U}_\beta)^\perp$ , for every  $r \in \mathbb{F}_q$ . The  $q$  conics  $C_r$  have only the point  $P_\infty$  in common. Thus,  $v^{C_r}$ ,  $r \in \mathbb{F}_q$ , are linearly independent. The proof is complete.  $\square$

An immediate corollary of Proposition 1.1 is that  $\dim \mathcal{C}_2(\mathcal{U}_\beta)^\perp \geq q$ . Hence  $\dim \mathcal{C}_2(\mathcal{U}_\beta) \leq q^3 + 1 - q$ . Baker and Wantz [6,10] made the following conjecture.

**Conjecture 1.2** (Baker and Wantz). *The 2-rank of  $\mathcal{U}_\beta$  is  $q^3 + 1 - q$ . That is,  $\dim \mathcal{C}_2(\mathcal{U}_\beta) = q^3 + 1 - q$ .*

Wantz [10] verified Conjecture 1.2 in the cases where  $q = 3, 5, 7$ , and  $9$  by using a computer and MAGMA [4]. Gary Ebert [6] popularized the above conjecture of Baker and Wantz in a talk in Oberwolfach in 2001. See also [11] for a description of the above conjecture. Of course, the conjecture is equivalent to saying that  $\dim \mathcal{C}_2(\mathcal{U}_\beta)^\perp = q$ . So it suffices to show that  $\{v^{C_r} \mid r \in \mathbb{F}_q\}$  spans  $\mathcal{C}_2(\mathcal{U}_\beta)^\perp$ . That is, we need to show that if  $S \subset U_\beta$  and  $S$  meets every block of  $\mathcal{U}_\beta$  in an even number of points, then  $S$  is a union of some  $C_r$ 's, or a union of some  $C_r$ 's with  $P_\infty$  deleted. We have not been able to prove this equivalent version of the conjecture. What we could prove is a lower bound on  $\dim \mathcal{C}_2(\mathcal{U}_\beta)$  as stated in the abstract. The main idea in our proofs is to realize a shortened code of  $\mathcal{C}_2(\mathcal{U}_\beta)$  as an ideal in a certain group algebra of the elementary abelian  $p$ -group of order  $q^3$ . We hope that the current note will stimulate further research on this conjecture.

## 2. A lower bound on the dimension of $\mathcal{C}_2(\mathcal{U}_\beta)$

We first consider the automorphisms of  $\mathcal{U}_\beta$ . Let

$$G = \{\theta \in \text{PGL}(3, q^2) \mid \theta(U_\beta) = U_\beta\}$$

be the linear collineation group of  $\text{PG}(2, q^2)$  fixing  $U_\beta$  as a set. It was shown by Baker and Ebert [2] that

$$G = T \rtimes \mathbb{Z}_{2(q-1)},$$

where  $T$  is an elementary abelian group of order  $q^3$ , and  $\mathbb{Z}_{2(q-1)}$  is a cyclic group of order  $2(q-1)$ . The group  $G$  certainly is also an automorphism group of the design  $\mathcal{U}_\beta$  since any element of  $G$  maps a secant line of  $U_\beta$  to a secant line of  $U_\beta$ . In fact, the group  $T$  above acts regularly on  $U_\beta \setminus \{P_\infty\}$ . Explicitly,

$$T = \left\{ \begin{pmatrix} 1 & t & \beta t^2 \\ 0 & 1 & 2\beta t \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & r \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \mid t \in \mathbb{F}_{q^2}, r \in \mathbb{F}_q \right\} \cong (\mathbb{F}_{q^2}, +) \times (\mathbb{F}_q, +).$$

In the rest of the paper, we will use  $T(t, r)$ ,  $t \in \mathbb{F}_{q^2}$ ,  $r \in \mathbb{F}_q$ , to denote the element

$$\begin{pmatrix} 1 & t & \beta t^2 \\ 0 & 1 & 2\beta t \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & r \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

of  $T$ .

The coordinates of the code  $\mathcal{C}_2(\mathcal{U}_\beta)$  are labeled by the points in  $U_\beta$ . Deleting the coordinate labeled by  $P_\infty$  from all codewords of  $\mathcal{C}_2(\mathcal{U}_\beta)$ , we get a shortened (or punctured) code  $\mathcal{C}_2(\mathcal{U}_\beta)'$ , which has the same dimension over  $\mathbb{F}_2$  as  $\mathcal{C}_2(\mathcal{U}_\beta)$  since  $v^{P_\infty} \notin \mathcal{C}_2(\mathcal{U}_\beta)$ . Since  $T$  acts regularly on  $U_\beta \setminus \{P_\infty\}$ , we may identify the coordinates of  $\mathcal{C}_2(\mathcal{U}_\beta)'$  with the elements of  $T$ . Under this identification, the point  $(1, t, \beta t^2 + r)$  of  $U_\beta$  correspond to the group element  $T(t, r)$  since

$$(1, 0, 0) \cdot T(t, r) = (1, t, \beta t^2 + r).$$

After the above identification, the code  $\mathcal{C}_2(\mathcal{U}_\beta)'$  becomes an ideal of the group algebra  $\mathbb{F}_2[T]$ . Now we can use the characters of  $T$  to help compute the dimension of  $\mathcal{C}_2(\mathcal{U}_\beta)'$ .

First of all, we need to extend the field over which the code  $\mathcal{C}_2(\mathcal{U}_\beta)$  is defined. Let  $K = \mathbb{F}_{2^m}$ , where  $m = \text{ord}_p(2)$  is the order of 2 modulo  $p$  (i.e.,  $m$  is the smallest positive integer such that  $2^m \equiv 1 \pmod{p}$ ). So  $K$  contains a primitive  $p$ th root of unity  $\xi_p$ . We consider the code  $\mathcal{C}_K(\mathcal{U}_\beta)$  and puncture it at  $P_\infty$  to get  $\mathcal{C}_K(\mathcal{U}_\beta)'$ , which will be denoted by  $M$  for simplicity of notation. The code  $M$  is an ideal of the group algebra  $K[T]$ , and

$$\dim_K(M) = \dim_{\mathbb{F}_2}(\mathcal{C}_2(\mathcal{U}_\beta)').$$

Therefore, **Conjecture 1.2** is equivalent to the statement that

$$\dim_K(M) = q^3 + 1 - q.$$

Since  $M$  is an ideal of  $K[T]$ , and  $T$  is abelian, it is well known [8, p. 277] that

$$\dim_K(M) = |\{\chi \in \hat{T} \mid Me_\chi \neq 0\}|,$$

where  $\hat{T}$  is the group of characters  $\chi : T \rightarrow K^*$  of  $T$ , and

$$e_\chi = \frac{1}{|T|} \sum_{g \in T} \chi(g^{-1})g$$

are primitive idempotents of  $K[T]$ . We also mention that for any  $h \in T$  and any  $\chi \in \hat{T}$ ,

$$h \cdot e_\chi = \chi(h)e_\chi. \tag{2.1}$$

Since  $T \cong (\mathbb{F}_{q^2}, +) \times (\mathbb{F}_q, +)$ , every character  $\chi$  of  $T$  can be written as  $(\psi_a, \lambda_b) : T \rightarrow K^*$ , where  $a \in \mathbb{F}_{q^2}$ ,  $b \in \mathbb{F}_q$ ,

$$\psi_a : x \mapsto \xi_p^{\text{Tr}_{q^2/p}(ax)}, \quad x \in \mathbb{F}_{q^2},$$

and

$$\lambda_b : y \mapsto \xi_p^{\text{Tr}_{q/p}(by)}, \quad y \in \mathbb{F}_q.$$

Here  $\text{Tr}_{q^2/p}$  (resp.  $\text{Tr}_{q/p}$ ) is the trace from  $\mathbb{F}_{q^2}$  (resp.  $\mathbb{F}_q$ ) to  $\mathbb{F}_p$ . (We note in passing that  $\text{Tr}_{q^2/p}(a/2) = \text{Tr}_{q/p}(a)$  for all  $a \in \mathbb{F}_q$ , a fact which will be used in the proof of **Theorem 2.4**.) Hence we need to count the number of pairs  $(a, b) \in \mathbb{F}_{q^2} \times \mathbb{F}_q$  such that

$$Me_{(\psi_a, \lambda_b)} \neq 0.$$

To this end, we need to write down the blocks of the unital design  $\mathcal{U}_\beta$  more explicitly.

We first recall some properties of  $U_\beta$ , which will be used to describe the blocks of  $\mathcal{U}_\beta$ . The proofs of these properties can be found in [1,2,7].

- Among the  $q^2 + 1$  lines through  $P_\infty$ ,  $q^2$  of them are secant to  $U_\beta$ , and one is tangent to  $U_\beta$ . The secant lines through  $P_\infty$  are  $[t, 1, 0]$ , where  $t \in \mathbb{F}_{q^2}$ , and the unique tangent line through  $P_\infty$  is  $[1, 0, 0]$ .
- A secant line to  $U_\beta$ , not through  $P_\infty$  must pass through  $(0, 1, \alpha)$  for some  $\alpha \in \mathbb{F}_{q^2}$ . Moreover, for every  $\alpha \in \mathbb{F}_{q^2}$ , there are  $q^2 - q$  secant line through  $(0, 1, \alpha)$ .
- The line  $[t, -\alpha, 1]$ ,  $t, \alpha \in \mathbb{F}_{q^2}$ , through  $(0, 1, \alpha)$  is secant to  $U_\beta$  if and only if  $t \notin \mathbb{F}_q + \frac{\alpha^2}{4\beta}$ . (This can be seen as follows: The line  $[t, -\alpha, 1]$  is tangent to  $U_\beta$  if and only if it is tangent to some conic  $C_r$ ,  $r \in \mathbb{F}_q$ , which in turn is equivalent to  $\alpha^2 - 4\beta(t + r) = 0$ . The last condition is simply saying that  $t \in \mathbb{F}_q + \frac{\alpha^2}{4\beta}$ .)

The unital design  $\mathcal{U}_\beta$  has a total of  $q^2(q^2 - q + 1)$  blocks, which fall into two types. The type I blocks are the intersections of the  $q^2$  secant lines through  $P_\infty$  with  $U_\beta$ . These are

$$U_\beta \cap [t, 1, 0] = \{(1, -t, \beta t^2 + r) \mid r \in \mathbb{F}_q\} \cup \{P_\infty\},$$

where  $t \in \mathbb{F}_{q^2}$ . We may identify  $(U_\beta \cap [t, 1, 0]) \setminus \{P_\infty\}$  with the group ring element

$$B_{t, \infty} := \sum_{r \in \mathbb{F}_q} T(-t, r) \in K[T]. \tag{2.2}$$

The type II blocks are the intersections of the secant lines through  $(0, 1, \alpha)$  with  $U_\beta$ , with  $q^2 - q$  of them for each  $\alpha \in \mathbb{F}_{q^2}$ . These blocks are

$$U_\beta \cap [t, -\alpha, 1] = \{(1, y, \beta y^2 + r) \mid r \in \mathbb{F}_q, y \in \mathbb{F}_{q^2}, t - \alpha y + \beta y^2 + r = 0\},$$

where  $\alpha \in \mathbb{F}_{q^2}$  and  $t \in \mathbb{F}_{q^2} \setminus (\mathbb{F}_q + \frac{\alpha^2}{4\beta})$ . We may identify the above block with the group ring element

$$B_{t, \alpha} := \sum_{y \in \mathbb{F}_{q^2}, r = -t + \alpha y - \beta y^2 \in \mathbb{F}_q} T(y, r) \in K[T]. \tag{2.3}$$

Therefore we have a complete description of the blocks of the unital design  $\mathcal{U}_\beta$ .

**Lemma 2.1.** *With the above notation,  $Me_{(\psi_a, \lambda_0)} \neq 0$ , for all  $a \in \mathbb{F}_{q^2}$ .*

**Proof.** We will show that  $B_{t,\infty} \cdot e_{(\psi_a, \lambda_0)} \neq 0$ , where  $B_{t,\infty}$  is defined in (2.2). By (2.1), we have  $h \cdot e_\chi = \chi(h)e_\chi$  for any  $h \in T$  and any  $\chi \in \hat{T}$ . So we need to show that  $(\psi_a, \lambda_0)(B_{t,\infty}) \neq 0$ .

$$\begin{aligned} (\psi_a, \lambda_0)(B_{t,\infty}) &= \sum_{r \in \mathbb{F}_q} \psi_a(-t)\lambda_0(r) \\ &= \sum_{r \in \mathbb{F}_q} \psi_a(-t) \\ &= q \cdot \psi_a(-t). \end{aligned}$$

Since  $q$  is odd, and  $\psi_a(-t)$  is a root of unity in  $K$ , we see that  $(\psi_a, \lambda_0)(B_{t,\infty}) \neq 0$ . The proof is complete.  $\square$

**Lemma 2.2.** *With the above notation,  $Me_{(\psi_0, \lambda_b)} = 0$ , for all nonzero  $b \in \mathbb{F}_q$ .*

**Proof.** The ideal  $M$  is generated by two types of elements  $B_{t,\infty}$  and  $B_{t,\alpha}$ , which correspond to the two types of blocks of  $\mathcal{U}_\beta$ . We will show that the character  $(\psi_0, \lambda_b)$ ,  $b \neq 0$ , is zero on both types of generating elements.

For any type I element  $B_{t,\infty}$ ,  $t \in \mathbb{F}_{q^2}$ , in (2.2), we have

$$\begin{aligned} (\psi_0, \lambda_b)(B_{t,\infty}) &= \sum_{r \in \mathbb{F}_q} \psi_0(-t)\lambda_b(r) \\ &= \sum_{r \in \mathbb{F}_q} \lambda_b(r) \\ &= 0, \end{aligned}$$

since  $b$  is nonzero.

For any type II element  $B_{t,\alpha}$  in (2.3), we have

$$\begin{aligned} (\psi_0, \lambda_b)(B_{t,\alpha}) &= \sum_{r \in \mathbb{F}_q, y \in \mathbb{F}_{q^2}, r = -(\beta y^2 - \alpha y + t)} \psi_0(y)\lambda_b(r) \\ &= \sum_{r \in \mathbb{F}_q, y \in \mathbb{F}_{q^2}, r = -(\beta y^2 - \alpha y + t)} \lambda_b(r) \\ &= 0, \end{aligned}$$

since two distinct  $y \in \mathbb{F}_{q^2}$  give rise to the same  $r \in \mathbb{F}_q$ . The proof is complete.  $\square$

By the above two lemmas, we see that Conjecture 1.2 is equivalent to

**Conjecture 2.3.** *For nonzero  $a \in \mathbb{F}_{q^2}$  and nonzero  $b \in \mathbb{F}_q$ , one has*

$$Me_{(\psi_a, \lambda_b)} \neq 0.$$

Up to now we have only been able to prove some partial results on this latter conjecture.

**Theorem 2.4.** *Let  $a \in \mathbb{F}_{q^2}^*$  and  $b \in \mathbb{F}_q^*$ . If  $\text{Tr}_{q^2/p}(\frac{a^2}{2b\beta}) \neq 0$ , then  $Me_{(\psi_a, \lambda_b)} \neq 0$ .*

**Proof.** Let  $t, \alpha \in \mathbb{F}_{q^2}$  with  $\Delta := t - \frac{\alpha^2}{4\beta} \notin \mathbb{F}_q$ . Then  $[t, -\alpha, 1]$  is a secant line to  $U_\beta$ , and

$$U_\beta \cap [t, -\alpha, 1] = \{(1, y, \beta y^2 + r) \mid y \in \mathbb{F}_{q^2}, r = -t + \alpha y - \beta y^2 \in \mathbb{F}_q\}.$$

This set can be identified with the group ring element

$$B_{t,\alpha} = \sum_{y \in A_{t,\alpha}} T(y, -t + \alpha y - \beta y^2) \in K[T],$$

where  $A_{t,\alpha} = \{y \in \mathbb{F}_{q^2} \mid t - \alpha y + \beta y^2 \in \mathbb{F}_q\}$ .

Now let  $\mu \in \mathbb{F}_{q^2}^*$  such that  $\mu^2 \in \mathbb{F}_q^*$  (explicitly,  $\mu \in \langle \beta^{\frac{q+1}{2}} \rangle$ , the subgroup of order  $2(q-1)$  of  $\mathbb{F}_{q^2}^*$ ). Then  $[t\mu^2, -\alpha\mu, 1]$  is also a secant line to  $U_\beta$ , and

$$U_\beta \cap [t\mu^2, -\alpha\mu, 1] = \{(1, z, \beta z^2 + r) \mid z \in \mathbb{F}_{q^2}, r = -t\mu^2 + \alpha\mu z - \beta z^2 \in \mathbb{F}_q\}.$$

This set can be identified with the group ring element

$$B_{t\mu^2, \alpha\mu} = \sum_{y \in A_{t,\alpha}} T(\mu y, -\mu^2(t - \alpha y + \beta y^2)) \in K[T].$$

Since  $\Delta \notin \mathbb{F}_q$ , the set  $(\mathbb{F}_q - \Delta)$  contains  $\frac{q+1}{2}$  nonsquares of  $\mathbb{F}_{q^2}$ , say  $n_1, n_2, \dots, n_{\frac{q+1}{2}}$  (see Lemma 5.2 in [7]). Now we can write down the elements of  $A_{t,\alpha}$  explicitly. Note that  $t - \alpha y + \beta y^2 \in \mathbb{F}_q$ , if and only if,  $\beta(y - \frac{\alpha}{2\beta})^2 \in \mathbb{F}_q - \Delta$ , which in turn is equivalent to  $\beta(y - \frac{\alpha}{2\beta})^2 = n_i$  for some  $i, 1 \leq i \leq (q+1)/2$ . Therefore, we have  $y \in A_{t,\alpha}$  if and only if  $y = \frac{\alpha}{2\beta} \pm \sqrt{\beta^{-1}n_i}$ ,  $1 \leq i \leq (q+1)/2$ . It follows that

$$B_{t\mu^2, \alpha\mu} = \sum_{i=1}^{\frac{q+1}{2}} T\left(\frac{\alpha\mu}{2\beta} \pm \sqrt{\beta^{-1}n_i\mu^2}, -\mu^2(n_i + \Delta)\right).$$

Now

$$\begin{aligned} (\psi_a, \lambda_b)(B_{t\mu^2, \alpha\mu}) &= \sum_{i=1}^{\frac{q+1}{2}} \left( \xi_p^{\text{Tr}_{q^2/p}(\frac{\alpha\mu}{2\beta} + a\sqrt{\beta^{-1}n_i\mu^2})} + \xi_p^{\text{Tr}_{q^2/p}(\frac{\alpha\mu}{2\beta} - a\sqrt{\beta^{-1}n_i\mu^2})} \right) \xi_p^{\text{Tr}_{q/p}(-b\mu^2(n_i + \Delta))} \\ &= \xi_p^{\text{Tr}_{q^2/p}(\frac{\alpha\mu}{2\beta} - \frac{b\Delta\mu^2}{2})} \sum_{i=1}^{\frac{q+1}{2}} \left( \xi_p^{\text{Tr}_{q^2/p}(a\sqrt{\beta^{-1}n_i\mu^2})} + \xi_p^{\text{Tr}_{q^2/p}(-a\sqrt{\beta^{-1}n_i\mu^2})} \right) \xi_p^{\text{Tr}_{q^2/p}(-\frac{bn_i\mu^2}{2})}. \end{aligned}$$

Define

$$S_{t\mu^2, -\alpha\mu} := \sum_{i=1}^{\frac{q+1}{2}} \left( \xi_p^{\text{Tr}_{q^2/p}(a\sqrt{\beta^{-1}n_i\mu^2})} + \xi_p^{\text{Tr}_{q^2/p}(-a\sqrt{\beta^{-1}n_i\mu^2})} \right) \xi_p^{\text{Tr}_{q^2/p}(-\frac{bn_i\mu^2}{2})}.$$

Let  $R$  be a complete set of coset representatives of the subgroup  $\{1, -1\}$  in  $(\beta^{\frac{q+1}{2}})$  (so  $|R| = (q-1)$ ). We will show that

$$\sum_{\mu \in R} S_{t\mu^2, -\alpha\mu} \neq 0. \tag{2.4}$$

From (2.3), we immediately see that there exists some  $\mu \in R$  such that  $(\psi_a, \lambda_b)(B_{t\mu^2, \alpha\mu}) \neq 0$ , which proves the conclusion of the theorem.

First we claim that as  $\mu$  runs through  $R$  and  $i$  runs through  $1, 2, \dots, \frac{q+1}{2}$ ,  $n_i\mu^2$  run through the set  $N$  of nonsquares of  $\mathbb{F}_{q^2}^*$ . The claim can be proved as follows. Clearly, each  $n_i\mu^2$  is a nonsquare of  $\mathbb{F}_{q^2}^*$ . It suffices to show that  $n_i\mu^2, 1 \leq i \leq \frac{q+1}{2}$  and  $\mu \in R$ , are all distinct. Assume that  $n_i\mu^2 = n_j\lambda^2$ , for some  $1 \leq i, j \leq \frac{q+1}{2}$ , and some  $\mu, \lambda \in R$ . Since  $n_i, n_j \in \mathbb{F}_q - \Delta$ , we set  $n_i = x - \Delta$  and  $n_j = y - \Delta$ , where  $x, y \in \mathbb{F}_q$ . We have

$$\mu^2x - \mu^2\Delta = \lambda^2y - \lambda^2\Delta.$$

Noting that  $\mu^2, \lambda^2 \in \mathbb{F}_q$  and  $\Delta \notin \mathbb{F}_q$ , we see that  $\mu^2 = \lambda^2$ . Since  $\mu, \lambda \in R$ , we must have  $\mu = \lambda$ , from which we deduce  $n_i = n_j$ . The claim is proved.

For convenience, we will use  $S$  to denote the set of nonzero squares of  $\mathbb{F}_{q^2}$ . So we have

$$\begin{aligned} \sum_{\mu \in R} S_{t\mu^2, -\alpha\mu} &= \sum_{x \in N} \left( \xi_p^{\text{Tr}_{q^2/p}(a\sqrt{\beta^{-1}x})} + \xi_p^{\text{Tr}_{q^2/p}(-a\sqrt{\beta^{-1}x})} \right) \xi_p^{\text{Tr}_{q^2/p}(-\frac{bx}{2})} \\ &= \sum_{y \in S} \left( \xi_p^{\text{Tr}_{q^2/p}(a\sqrt{y})} + \xi_p^{\text{Tr}_{q^2/p}(-a\sqrt{y})} \right) \xi_p^{\text{Tr}_{q^2/p}(-\frac{by}{2})} \\ &= \sum_{z \in \mathbb{F}_{q^2}^*} \xi_p^{\text{Tr}_{q^2/p}(az - \frac{b\beta z^2}{2})} \\ &= \sum_{z \in \mathbb{F}_{q^2}} \xi_p^{\text{Tr}_{q^2/p}(az - \frac{b\beta z^2}{2})} - 1 \\ &= \xi_p^{\text{Tr}_{q^2/p}(\frac{a^2}{2b\beta})} \sum_{x \in \mathbb{F}_{q^2}} \xi_p^{\text{Tr}_{q^2/p}(-\frac{b\beta}{2}x^2)} - 1. \end{aligned}$$

Note that  $p$  is odd and  $\text{Tr}_{q^2/p}(-\frac{b\beta}{2}x^2) = \text{Tr}_{q^2/p}(-\frac{b\beta}{2}(-x)^2)$  for any  $x \in \mathbb{F}_{q^2}$ . As  $\xi_p \in K$  and  $K$  has characteristic 2, we have

$$\sum_{x \in \mathbb{F}_{q^2}} \xi_p^{\text{Tr}_{q^2/p}(-\frac{b\beta}{2}x^2)} = 1.$$

Hence

$$\sum_{\mu \in R} S_{t\mu^2, -\alpha\mu} = \xi_p^{\text{Tr}_{q^2/p}(\frac{a^2}{2b\beta})} - 1.$$

Therefore, if  $\text{Tr}_{q^2/p}(\frac{a^2}{2b\beta}) \neq 0$ , then  $\sum_{\mu \in R} S_{t\mu^2, -\alpha\mu} \neq 0$ . The proof is complete.  $\square$

An immediate corollary is the following.

**Corollary 2.5.**  $\dim C_2(\mathcal{U}_\beta) \geq q^3(1 - \frac{1}{p}) + \frac{q^2}{p}$ .

**Proof.** By Lemma 2.1, we have  $q^2$  characters  $(\psi_a, \lambda_0)$ ,  $a \in \mathbb{F}_{q^2}$ , of  $T$  such that  $Me_{(\psi_a, \lambda_0)} \neq 0$ .

Next, for each  $b \in \mathbb{F}_q^*$ , the number of  $a$ 's such that  $\text{Tr}_{q^2/p}(\frac{a^2}{2b\beta}) \neq 0$  is  $(q^2 - p^{2e-1}) = (q^2 - q^2/p)$ . So Theorem 2.4 produces  $(q - 1)(q^2 - q^2/p)$  characters  $(\psi_a, \lambda_b)$  of  $T$ , such that  $Me_{(\psi_a, \lambda_b)} \neq 0$ .

Therefore,  $\dim C_2(\mathcal{U}_\beta) \geq q^2 + (q - 1)(q^2 - q^2/p) = q^3(1 - \frac{1}{p}) + \frac{q^2}{p}$ . The proof is complete.  $\square$

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